

# Synthetic Computability (Computability Theory without Computers)

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Gargnano, Lago di Garda, September 2006

## What is “synthetic” mathematics?

- ▶ Suppose we want to study mathematical structures forming a category  $\mathcal{C}$ , such as:
  - ▶ smooth manifolds and differentiable maps
  - ▶ topological spaces and continuous maps
  - ▶ computable sets and computable maps
- ▶ **Classical approach:** structures are *sets equipped with extra structure*, morphisms *preserve the structure*.
- ▶ **Synthetic approach:** embed  $\mathcal{C}$  in a suitable *mathematical universe*  $\mathcal{E}$  (a model of intuitionistic set theory) and view structures as *ordinary sets* and morphisms as *ordinary maps* inside  $\mathcal{E}$ .
- ▶ The synthetic approach is unusual because:
  - (a) construction of a suitable  $\mathcal{E}$  may be technically involved,
  - (b) unusual axioms and logic may be valid in  $\mathcal{E}$ .
- ▶ But we can forget (a) once it has been done.
- ▶ As logicians, we are delighted about (b) because it takes us to exciting new worlds of mathematics.

# A synthetic universe for computability theory

- ▶ M. Hyland's *effective topos*  $\mathbf{Eff}$  is the mathematical universe suitable for computability theory.
- ▶ In  $\mathbf{Eff}$  all objects and morphisms are equipped with computability structure.
- ▶ We need not know how  $\mathbf{Eff}$  is built—we just use the logic and axioms which are valid in it.
- ▶ Our task is to see *what mathematics is like for those who live inside*  $\mathbf{Eff}$ .
- ▶ Other synthetic worlds:
  - ▶ Synthetic Differential Geometry [Lawvere & Kock]
  - ▶ Synthetic Topology [Taylor, Escardó]

## External and internal view

Comparison of concepts as viewed by us (externally) and by mathematicians inside Eff (internally):

Symbol	External	Internal
$\mathbb{N}$	natural numbers	natural numbers
$\mathbb{R}$	<i>computable</i> reals	<i>all</i> reals
$f : \mathbb{N} \rightarrow \mathbb{N}$	<i>computable</i> map	<i>any</i> map
$e : \mathbb{N} \rightarrow A$	<i>computable</i> enumeration of $A$	<i>any</i> enumeration of $A$
$\{\text{true}, \text{false}\}$	truth values	decidable truth values
$\Omega$	truth values of Eff	truth values
$\forall x$	for all <i>computable</i> $x$	for all $x$
$\exists x$	there exists <i>computable</i> $x$	there exists $x$
$P \vee \neg P$	decision procedure for $P$	$P$ or not $P$

## Translating between external and internal views

- ▶ There is a systematic way to translate any internal statement to an external one, namely the *interpretation of intuitionistic logic and set theory* in  $\mathbf{Eff}$ .
- ▶ But we will not do that. Instead we shall speak and think like mathematicians inside  $\mathbf{Eff}$ .

## Related Work

- ▶ Friedman [1971], axiomatizes coding and universal functions
- ▶ Moschovakis [1971] & Fenstad [1974], axiomatize computations and subcomputations
- ▶ Hyland [1982], effective topos
- ▶ Richman [1984], an axiom for effective enumerability of partial functions, extended in Bridges & Richman [1987]
- ▶ We shall follow Richman [1984] in style, and borrow ideas from Rosolini [1986], Berger [1983], and Spreen [1998].

# Outline

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Constructive Mathematics

Basic Computability

Theorems for Free

Axiom of Enumerability

Further Results

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Appendix: Recursive Analysis

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# Intuitionistic logic

- ▶ Law of Excluded Middle:

$$\forall p \in \Omega . (p \vee \neg p)$$

“For every proposition  $p$ ,  $p$  or not  $p$ .”

- ▶ Accepted in classical mathematics (99.9% of mathematicians and falling).
- ▶ In intuitionistic mathematics it can only be used in special cases, when  $p$  is *decidable*.



# Axiom of Choice

- ▶ Axiom of Choice:

*For every family  $\{A_i\}_{i \in I}$  of non-empty sets there is a choice function  $f : I \rightarrow \bigcup_{i \in I} A_i$ , such that  $f(i) \in A_i$  for all  $i \in I$ .*

- ▶ Logical formulation of Axiom of Choice: every total relation has a choice function,

$$(\forall x \in A . \exists y \in B . R(x, y)) \implies \exists f \in B^A . \forall x \in A . R(x, f(x)).$$

- ▶ Axiom of Choice  $\implies$  Excluded Middle.
- ▶ Constructive mathematics: only *Countable Choice*, which is the case  $I = A = \mathbb{N}$ .

# Basic sets and constructions

- ▶ Basic sets:

$$\emptyset, \quad \mathbf{1} = \{*\}, \quad \mathbb{N} = \{0, 1, 2, \dots\}$$

- ▶ Set operations:

$$A \times B, \quad A + B, \quad B^A = A \rightarrow B, \quad \{x \in A \mid p(x)\}, \quad \mathcal{P}A$$

- ▶ We say that  $A$  is
  - ▶ *non-empty* if  $\neg \forall x \in A. \perp$ ,
  - ▶ *inhabited* if  $\exists x \in A. \top$ .

## Some interesting sets

- ▶ The set of truth values:

$$\Omega = \mathcal{P}1$$

$$\text{truth } \top = 1, \quad \text{falsehood } \perp = \emptyset$$

- ▶ The set of *decidable* truth values:

$$\mathbf{2} = \{0, 1\} = \{p \in \Omega \mid p \vee \neg p\},$$

where we write  $1 = \top$  and  $0 = \perp$ .

- ▶ The set of *classical* truth values:

$$\Omega_{\neg\neg} = \{p \in \Omega \mid \neg\neg p = p\}.$$

- ▶  $\mathbf{2} \subseteq \Omega_{\neg\neg} \subseteq \Omega$ .

## Decidable and classical sets

- ▶ A subset  $S \subseteq A$  is equivalently given by its characteristic map

$$\chi_S : A \rightarrow \Omega, \quad \chi_S(x) = (x \in S).$$

- ▶ A subset  $S \subseteq A$  is *decidable* if  $\chi_S : A \rightarrow \mathbf{2}$ , equivalently

$$\forall x \in A. (x \in S \vee x \notin S) .$$

- ▶ A subset  $S \subseteq A$  is *classical* if  $\chi_S : A \rightarrow \Omega_{\neg\neg}$ , equivalently

$$\forall x \in A. (\neg(x \notin S) \implies x \in S) .$$

## Enumerable & finite sets

- ▶  $A$  is *finite* if there exist  $n \in \mathbb{N}$  and a surjection

$$e : \{1, \dots, n\} \twoheadrightarrow A,$$

called a *listing* of  $A$ . An element may be listed more than once.

- ▶  $A$  is *enumerable (countable)* if there exists a surjection

$$e : \mathbb{N} \twoheadrightarrow A,$$

called an *enumeration* of  $A$ . For inhabited  $A$  we may take  $e : \mathbb{N} \twoheadrightarrow A$ .

- ▶  $A$  is *infinite* if there exists an injective  $a : \mathbb{N} \hookrightarrow A$ .

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## Lawvere $\rightarrow$ Cantor

### Theorem (Lawvere)

If  $e : A \rightarrow B^A$  is surjective then  $B$  has the fixed point property: for every  $f : B \rightarrow B$  there is  $x_0 \in B$  such that  $f(x_0) = x_0$ .

### Proof.

Given  $f : B \rightarrow B$ , define  $g(y) = f(e(y)(y))$ . Because  $e$  is surjective there is  $x \in A$  such that  $e(x) = g$ . Then  $e(x)(x) = f(e(x)(x))$ , so  $x_0 = e(x)(x)$  is a fixed point of  $f$ .  $\square$

### Corollary (Cantor)

There is no surjection  $e : A \rightarrow \mathcal{P}A$ .

### Proof.

$\mathcal{P}A = \Omega^A$  and negation  $\neg : \Omega \rightarrow \Omega$  does not have a fixed point.  $\square$

# Non-enumerability of Cantor and Baire space

Are there any sets which are *not* enumerable?

Yes, for example  $\mathcal{P}\mathbb{N}$ , and also:

## Corollary

$2^{\mathbb{N}}$  and  $\mathbb{N}^{\mathbb{N}}$  are not enumerable.

## Proof.

$2$  and  $\mathbb{N}$  do not have the fixed-point property. □

We have proved our first synthetic theorem:

## Theorem (external translation of above corollary)

*The set of recursive sets and the set of total recursive functions cannot be computably enumerated.*



# Projection Theorem

Recall: the *projection* of  $S \subseteq A \times B$  is the set

$$\{x \in A \mid \exists y \in B. \langle x, y \rangle \in S\}.$$

## Theorem (Projection)

A subset of  $\mathbb{N}$  is enumerable iff it is the projection of a decidable subset of  $\mathbb{N} \times \mathbb{N}$ .

## Proof.

If  $A$  is enumerated by  $e : \mathbb{N} \rightarrow 1 + A$  then  $A$  is the projection of the *graph* of  $e$ , which is the set  $\{\langle m, n \rangle \in \mathbb{N} \times \mathbb{N} \mid m = e(n)\}$ .

If  $A$  is the projection of  $B \subseteq \mathbb{N} \times \mathbb{N}$ , define  $e : \mathbb{N} \times \mathbb{N} \rightarrow 1 + A$  by

$$e\langle m, n \rangle = \text{if } \langle m, n \rangle \in B \text{ then } m \text{ else } \star. \quad \square$$

# Semidecidable sets

- ▶ A *semidecidable truth value*  $p \in \Omega$  is one that is equivalent to

$$\exists n \in \mathbb{N} . d(n)$$

for some  $d : \mathbb{N} \rightarrow \mathbf{2}$ .

- ▶ The set of semidecidable truth values:

$$\Sigma = \{p \in \Omega \mid \exists d \in \mathbf{2}^{\mathbb{N}} . (p \iff \exists n \in \mathbb{N} . d(n))\} .$$

This is Rosolini's *dominance*.

- ▶  $\mathbf{2} \subseteq \Sigma \subseteq \Omega$ .
- ▶ A subset  $S \subseteq \mathbb{N}$  is *semidecidable* if  $\chi_S : A \rightarrow \Sigma$ .

# Semidecidable subsets of $\mathbb{N}$

## Theorem

*The enumerable subsets of  $\mathbb{N}$  are the semidecidable subsets of  $\mathbb{N}$ .*

## Proof.

By Projection Theorem, an enumerable  $A \subseteq \mathbb{N}$  is the projection of a decidable  $B \subseteq \mathbb{N} \times \mathbb{N}$ . Then  $n \in A$  iff  $\exists m \in \mathbb{N} . \langle n, m \rangle \in B$ . Conversely, if  $A \in \Sigma^{\mathbb{N}}$ , by Number Choice there is  $d : \mathbb{N} \times \mathbb{N} \rightarrow \mathbf{2}$  such that  $n \in A$  iff  $\exists m \in \mathbb{N} . d(m, n)$ . □

The enumerable subsets of  $\mathbb{N}$ :

$$\mathcal{E} = \Sigma^{\mathbb{N}} .$$

## Partial functions

- ▶ A partial function  $f : A \rightharpoonup B$  is a function  $f : A' \rightarrow B$  defined on a subset  $A' \subseteq A$ , called the *domain* of  $f$ .
- ▶ Write  $f(x)\downarrow$  when  $x \in A'$  and  $f(x)$  is defined.
- ▶ We can view  $f : A \rightharpoonup B$  as an ordinary function  $f' : A \rightarrow \tilde{B}$  where

$$\tilde{B} = \{s \in \mathcal{P}B \mid \text{every two elements of } s \text{ are equal}\},$$
$$f'(x) = \{y \in B \mid f(x)\downarrow \wedge y = f(x)\}.$$

Then

$$f'(x) = \{y\} \iff f(x)\downarrow \wedge f(x) = y,$$
$$f'(x) = \emptyset \iff f(x) \text{ undefined.}$$

## $\Sigma$ -partial functions

The *graph* of  $f : \mathbb{N} \rightarrow \mathbb{N}$  is the set

$$\{\langle x, y \rangle \in A \times B \mid f(x) \downarrow \wedge y = f(x)\}.$$

When does a partial function  $f : \mathbb{N} \rightarrow \mathbb{N}$  have an enumerable graph?

### Proposition

$f : \mathbb{N} \rightarrow \tilde{\mathbb{N}}$  has an enumerable graph iff  $f(n) \downarrow \in \Sigma$  for all  $n \in \mathbb{N}$ .

Define the *lifting* operation

$$A_{\perp} = \{s \in \tilde{A} \mid (\exists x \in A. x \in s) \in \Sigma\}.$$

For  $f : A \rightarrow B$  define  $f_{\perp} : A_{\perp} \rightarrow B_{\perp}$  to be

$$f_{\perp}(s) = \{f(x) \mid x \in s\}.$$

A  $\Sigma$ -*partial function* is a function  $f : A \rightarrow B_{\perp}$ .

# Domains of $\Sigma$ -partial functions

## Proposition

*A subset is semidecidable iff it is the domain of a  $\Sigma$ -partial function.*

## Proof.

A semidecidable subset  $S \in \Sigma^A$  is the domain of its characteristic map  $\chi_S : A \rightarrow \Sigma = \mathbf{1}_\perp$ .

If  $f : A \rightarrow B_\perp$  is  $\Sigma$ -partial then its domain is the set  $\{x \in A \mid f(x) \downarrow\}$ , which is obviously semidecidable. □

## Theorem (External translation)

*A set is semidecidable iff it is the domain of a partial recursive map.*

# The Single-Value Theorem

A *selection* for  $R \subseteq A \times B$  is a partial map  $f : A \rightarrow B$  such that, for every  $x \in A$ ,

$$(\exists y \in B . R(x, y)) \implies f(x) \downarrow \wedge R(x, f(x)) .$$

This is like a choice function, except it only chooses when there is something to choose from.

## Theorem (Single Value Theorem)

*Every semidecidable relation  $R \in \Sigma^{\mathbb{N} \times \mathbb{N}}$  has a  $\Sigma$ -partial selection.*

# Axiom of Enumerability

## Axiom (Enumerability)

*There are enumerably many enumerable sets of numbers.*

Let  $\mathbf{W} : \mathbb{N} \rightarrow \mathcal{E}$  be an enumeration.

## Proposition

*$\Sigma$  and  $\mathcal{E}$  have the fixed-point property.*

## Proof.

By Lawvere,  $\mathbf{W} : \mathbb{N} \rightarrow \mathcal{E} = \Sigma^{\mathbb{N}} \cong \Sigma^{\mathbb{N} \times \mathbb{N}} \cong \mathcal{E}^{\mathbb{N}}$ . □



# The Law of Excluded Middle Fails

The Law of Excluded Middle says  $2 = \Omega$ .

## Corollary

*The Law of Excluded Middle is false.*

## Proof.

Among the sets  $2 \subseteq \Sigma \subseteq \Omega$  only the middle one has the fixed-point property, so  $2 \neq \Sigma \neq \Omega$ . □

# Enumerability of $\mathbb{N} \rightarrow \mathbb{N}_\perp$

## Proposition

$\mathbb{N} \rightarrow \mathbb{N}_\perp$  is enumerable.

## Proof.

Let  $V : \mathbb{N} \rightarrow \Sigma^{\mathbb{N} \times \mathbb{N}}$  be an enumeration. By Single-Value Theorem and Number Choice, there is  $\varphi : \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N}_\perp)$  such that  $\varphi_n$  is a selection of  $V_n$ . The map  $\varphi$  is surjective, as every  $f : \mathbb{N} \rightarrow \mathbb{N}_\perp$  is the only selection of its graph. □

## Corollary (Formal Church's Thesis)

$\mathbb{N}^{\mathbb{N}}$  is subenumerable (because  $\mathbb{N}^{\mathbb{N}} \subseteq \mathbb{N}_\perp^{\mathbb{N}}$ ).

In other words,  $\forall f \in \mathbb{N}^{\mathbb{N}} . \exists n \in \mathbb{N} . f = \varphi_n$ .

End of Part I

Walk around and rest your  
brain for 10 minutes.

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# The Topological View

- ▶ The topological view:

semidecidable subsets = open subsets .

- ▶  $\Sigma$  is the *Sierpinski space*: the space on two points  $\perp, \top$  with  $\{\top\}$  open and  $\{\perp\}$  closed.
- ▶ The *topology of A* is  $\Sigma^A$ .

# Continuous maps

## Theorem

*All functions are continuous.*

## Proof.

Given any  $f : A \rightarrow B$  and  $U \in \Sigma^B$ , the set  $f^{-1}(U)$  is open because it is classified by  $U \circ f : A \rightarrow \Sigma$ . □

# Topological Exterior and Creative Sets

- ▶ The *exterior* of an open set is the largest open set disjoint from it.
- ▶ An open set  $U \in \Sigma^A$  is *creative* if it is without exterior: every  $V \in \Sigma^A$  disjoint from  $U$  can be enlarged and still be disjoint from  $U$ .

## Theorem

*There exists a creative subset of  $\mathbb{N}$ .*

## Proof.

The familiar  $K = \{n \in \mathbb{N} \mid n \in \mathbf{W}_n\}$  is creative. Given any  $V \in \mathcal{E}$  with  $V = \mathbf{W}_k$  and  $K \cap V = \emptyset$ , we have  $k \notin V$  and  $k \notin K$ , so  $V' = V \cup \{k\}$  is larger and still disjoint from  $K$ . □

# Immune and Simple Sets

- ▶ A set is *immune* if it is neither finite nor infinite.
- ▶ A set is *simple* if it is open and its complement is immune.

## Theorem

There exists a (closed) immune subset of  $\mathbb{N}$ .

## Proof.

Following Post, consider  $P = \{\langle m, n \rangle \in \mathbb{N} \times \mathbb{N} \mid n > 2m \wedge n \in W_m\}$ , and let  $f : \mathbb{N} \rightarrow \mathbb{N}_\perp$  be a selection for  $P$ . Then

$S = \{n \in \mathbb{N} \mid \exists m \in \mathbb{N}. f(m) = n\}$  is the complement of the set we are looking for.

Because  $f(m) > 2m$  the set  $\mathbb{N} \setminus S$  cannot be finite.

For any infinite enumerable set  $U \subseteq \mathbb{N} \setminus S$  with  $U = W_m$ , we have  $f(m) \downarrow, f(m) \in W_m = U$ , and  $f(m) \in S$ , hence  $U$  is not contained in  $\mathbb{N} \setminus S$ . □



# Markov Principle

- ▶ If a binary sequence  $a \in 2^{\mathbb{N}}$  is not constantly 0, does it contain a 1?
- ▶ For  $p \in \Sigma$ , does  $p \neq \perp$  imply  $p = \top$ ?
- ▶ Is  $\Sigma \subseteq \Omega_{\neg\neg}$ ?

## Axiom (Markov Principle)

*A binary sequence which is not constantly 0 contains a 1.*

# Post's Theorem

## Theorem

For all  $p \in \Omega$ ,

$$p \in \mathbf{2} \iff p \in \Sigma \wedge \neg p \in \Sigma .$$

## Proof.

$\Rightarrow$  If  $p \in \mathbf{2}$  then  $\neg p \in \mathbf{2}$ , therefore  $p, \neg p \in \mathbf{2} \subseteq \Sigma$ .

$\Leftarrow$  If  $p \in \Sigma$  and  $\neg p \in \Sigma$  then  $p \vee \neg p \in \Sigma \subseteq \Omega_{\neg\neg}$ , therefore

$$p \vee \neg p = \neg\neg(p \vee \neg p) = \neg(\neg p \wedge \neg\neg p) = \neg\perp = \top ,$$

as required.



End of Part II

Go out,  
count the ducks,  
come back.

## Focal sets

- ▶ A *focal set* is a set  $A$  together with a map  $\epsilon_A : A_{\perp} \rightarrow A$  such that  $\epsilon_A(\{x\}) = x$  for all  $x \in A$ :

$$\begin{array}{ccc} A & \xrightarrow{\{-\}} & A_{\perp} \\ & \searrow & \downarrow \epsilon_A \\ & & A \end{array}$$

The *focus* of  $A$  is  $\perp_A = \epsilon_A(\perp)$ .

- ▶ A lifted set  $A_{\perp}$  is always focal (because lifting is a monad whose unit is  $\{-\}$ ).

# Enumerable focal sets

- ▶ Enumerable focal sets, known as *Eršov complete sets*, have good properties.
- ▶ A *flat domain*  $A_{\perp}$  is focal. It is enumerable if  $A$  is decidable and enumerable.
- ▶ If  $A$  is enumerable and focal then so is  $A^{\mathbb{N}}$ :

$$\mathbb{N} \xrightarrow{\varphi} \mathbb{N}_{\perp}^{\mathbb{N}} \xrightarrow{e_{\perp}^{\mathbb{N}}} A_{\perp}^{\mathbb{N}} \xrightarrow{\epsilon_A^{\mathbb{N}}} A^{\mathbb{N}}$$

- ▶ Some enumerable focal sets are

$$\Sigma^{\mathbb{N}}, \quad \mathbf{2}_{\perp}^{\mathbb{N}}, \quad \mathbb{N}_{\perp}^{\mathbb{N}}.$$

# Multi-valued functions

- ▶ A *multi-valued function*  $f : A \rightrightarrows B$  is a function  $f : A \rightarrow \mathcal{P}B$  such that  $f(x)$  is inhabited for all  $x \in A$ .
- ▶ This is equivalent to having a *total relation*  $R \subseteq A \times B$ . The connection between  $f$  and  $R$  is

$$f(x) = \{y \in B \mid R(x, y)\}$$
$$R(x, y) \iff y \in f(x) .$$

- ▶ A *fixed point* of  $f : A \rightrightarrows A$  is  $x \in A$  such that  $x \in f(x)$ .

# Recursion Theorem

## Theorem (Recursion Theorem)

*Every  $f : A \rightrightarrows A$  on enumerable focal  $A$  has a fixed point.*

## Proof.

Let  $e : \mathbb{N} \rightarrow A$  be an enumeration, and  $\epsilon : A_{\perp} \rightarrow A$  a focal map. For every  $k \in \mathbb{N}$  there exists  $m \in \mathbb{N}$  such that  $e(m) \in f(e(k))$ . By Number Choice there is a map  $c : \mathbb{N} \rightarrow \mathbb{N}$  such that  $e(c(k)) \in f(e(k))$  for every  $k \in \mathbb{N}$ . It suffices to find  $k$  such that  $e(c(k)) = e(k)$  since then  $x = e(k)$  is a fixed point for  $f$ .

For every  $m \in \mathbb{N}$  there is  $n \in \mathbb{N}$  such that  $\epsilon(e_{\perp}(c_{\perp}(\varphi_m(m)))) = e(n)$ . By Number Choice there is  $g : \mathbb{N} \rightarrow \mathbb{N}$  such that  $\epsilon(e_{\perp}(c_{\perp}(\varphi_m(m)))) = e(g(m))$  for every  $m \in \mathbb{N}$ . There is  $j \in \mathbb{N}$  such that  $g = \varphi_j$ . Let  $k = g(j)$ . Then

$$e(k) = e(g(j)) = \epsilon(e_{\perp}(c_{\perp}(\varphi_j(j)))) = e(c(g(j))) = e(c(k)) .$$



Can someone make this proof more beautiful?

# Classical Recursion Theorem

## Corollary (Classical Recursion Theorem)

*For every  $f : \mathbb{N} \rightarrow \mathbb{N}$  there is  $n \in \mathbb{N}$  such that  $\varphi_{f(n)} = \varphi_n$ .*

## Proof.

In Recursion Theorem, take the enumerable focal set  $A = \mathbb{N}_{\perp}^{\mathbb{N}}$  and the multi-valued function

$$F(g) = \{h \in \mathbb{N}_{\perp}^{\mathbb{N}} \mid \exists n \in \mathbb{N} . g = \varphi_n \wedge h = \varphi_{f(n)}\} .$$

There is  $g$  such that  $g \in F(g)$ . Thus there exists  $n \in \mathbb{N}$  such that  $\varphi_n = g = h = \varphi_{f(n)}$ . □



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## End of Part III

Please vote:

1. End now, please!
2. Go on until 7:30 without a pause.

## Plotkin's Domain $2_{\perp}^{\mathbb{N}}$

- ▶ In a *partially ordered set*  $(P, \leq)$  we say that  $x$  and  $y$  are *incomparable* if  $x \not\leq y$  and  $y \not\leq x$ .
- ▶ The set of  $\Sigma$ -partial binary functions  $2_{\perp}^{\mathbb{N}}$  is a partially ordered:

$$f \leq g \iff \forall n \in \mathbb{N}. (f(n) \downarrow \implies g(n) \downarrow \wedge f(n) = g(n))$$

It is called *Plotkin's universal domain* in domain theory.

- ▶ Suppose  $P$  is a partially ordered set and  $x \in P$ . Is there a maximal element of  $P$  above  $x$ ?

# Inseparable sets

## Theorem

*There exists an element of  $2_{\perp}^{\mathbb{N}}$  that is inconsistent with every maximal element of  $2_{\perp}^{\mathbb{N}}$ .*

## Proof.

Because  $2_{\perp}$  is focal and enumerable,  $2_{\perp}^{\mathbb{N}}$  is as well. Let  $\psi : \mathbb{N} \rightarrow 2_{\perp}^{\mathbb{N}}$  be an enumeration, and let  $t : 2_{\perp} \rightarrow 2_{\perp}$  be the isomorphism  $t(x) = \neg_{\perp} x$  which exchanges 0 and 1, and fixes  $\perp$ . Consider  $a \in 2_{\perp}^{\mathbb{N}}$  defined by  $a(n) = t(\psi_n(n))$ . If  $b \in 2_{\perp}^{\mathbb{N}}$  is maximal with  $b = \psi_k$ , then  $a(k) = \neg_{\perp} \psi_k(k) = \neg_{\perp} b(k)$ . Because  $a(k)$  and  $b(k)$  are both total and different they are inconsistent. Hence  $a$  and  $b$  are inconsistent. □

# Binary Trees

- ▶ Let  $2^*$  be the set of finite binary sequences, with prefix-ordering  $\preceq$ .
- ▶ The *length* of  $[a_0, \dots, a_{n-1}] \in 2^*$  is  $|a| = n$ .
- ▶ A *tree*  $T \subseteq 2^*$  is an inhabited prefix-closed subset.
- ▶ A *Kleene tree*  $T_K$  is a tree such that:
  1.  $T_K$  is decidable (as a subset of  $2^*$ ),
  2.  $T_K$  is unbounded:  $\forall k \in \mathbb{N}. \exists a \in T_K. |a| \geq k$ ,
  3. every infinite path exits  $T_K$ :

$$\forall \alpha \in 2^{\mathbb{N}}. \exists n \in \mathbb{N}. [\alpha_0, \dots, \alpha_n] \notin T_K .$$

## Construction of a Kleene Tree

1. Recall an enumeration  $\psi : \mathbb{N} \rightarrow 2_{\perp}^{\mathbb{N}}$  and  $s(n) = \neg_{\perp} \psi_n(n)$  which is inconsistent with every  $\alpha \in 2^{\mathbb{N}}$ .
2. Let  $\langle m_{-}, d_{-} \rangle : \mathbb{N} \rightarrow \mathbb{N} \times \mathbf{2}$  be an enumeration of the graph of  $s$ , i.e.,  $s(m_k) = d_k$  for all  $k \in \mathbb{N}$  and we enumerate all such pairs.
3. Given  $a = [a_0, \dots, a_n] \in 2^*$ , say that  $a$  *clashes with*  $\langle m_{-}, d_{-} \rangle$ , if there is  $k \leq n$  such that  $m_k \leq n$  and  $a_{m_k} \neq d_k$ .
4. Define  $K_T = \{a \in 2^* \mid a \text{ does not clash with } \langle m_{-}, d_{-} \rangle\}$ .
5.  $K_T$  is a Kleene tree!

## Construction of a Kleene Tree

$$K_T = \{a \in 2^* \mid a \text{ does not clash with } \langle m_-, d_- \rangle\}$$

$K_T$  is a Kleene tree:

1. Clearly, decidable, inhabited, prefix-closed.
2. Unbounded: define  $[a_0, \dots, a_n]$  by

$$a_j = \begin{cases} d_k & \text{if } j = m_k \text{ for some } k \leq n, \\ 0 & \text{otherwise.} \end{cases}$$

Then  $[a_0, \dots, a_n]$  does not clash with  $\langle m_-, d_- \rangle$ .

3. Every path  $\alpha \in 2^{\mathbb{N}}$  exits  $T_K$ :  $\alpha$  and  $s$  are inconsistent, hence prefixes of  $\alpha$  clash with  $\langle m_-, d_- \rangle$  eventually.

Note: there is an enumeration  $\ell : \mathbb{N} \rightarrow 2^*$  without repetitions of the leaves of  $T_K$ .

# Cantor space and Baire space

The Cantor space  $2^{\mathbb{N}}$  and Baire space  $\mathbb{N}^{\mathbb{N}}$  are complete separable metric spaces, with metric (for both spaces)

$$d(\alpha, \beta) = 2^{-\min\{k \in \mathbb{N} \mid \alpha_k \neq \beta_k\}} .$$

## Theorem

$2^{\mathbb{N}}$  and  $\mathbb{N}^{\mathbb{N}}$  are homeomorphic as metric spaces.

## Proof.

The homeomorphism  $h : \mathbb{N}^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$  is defined by

$$h(\alpha) = \ell(\alpha_0)\ell(\alpha_1)\ell(\alpha_2)\cdots$$





# Computing $2^{2^{\mathbb{N}}}$

$2^{2^{\mathbb{N}}}$  is the set of decidable subsets of decidable subsets.

$$2^{2^{\mathbb{N}}} = 2^{\mathbb{N}^{\mathbb{N}}} = 2^{\mathbb{N} \times \mathbb{N}^{\mathbb{N}}} = (2^{\mathbb{N}})^{\mathbb{N}^{\mathbb{N}}} = (\mathbb{N}^{\mathbb{N}})^{\mathbb{N}^{\mathbb{N}}} = \mathbb{N}^{\mathbb{N} \times \mathbb{N}^{\mathbb{N}}} = \mathbb{N}^{\mathbb{N}^{\mathbb{N}}}.$$

Remark: in other models of computability, such as **Equ**, we have  $2^{\mathbb{N}} \not\cong \mathbb{N}^{\mathbb{N}}$  and  $2^{2^{\mathbb{N}}} = \mathbb{N}$ .

## Local non-compactness of $\mathbb{R}$

- ▶ The “middle-thirds” embedding  $i : 2^{\mathbb{N}} \rightarrow [0, 1]$ ,  
$$i(\alpha) = \sum_{k=0}^{\infty} \frac{2\alpha_k}{3^{k+1}}.$$
- ▶ The image  $C = \text{im}(i)$  is a closed located subset of  $[0, 1]$ .
- ▶ The map  $i \circ h : \mathbb{N}^{\mathbb{N}} \rightarrow [0, 1]$  embeds  $\mathbb{N}^{\mathbb{N}}$  as a closed located subset  $C \subseteq [0, 1]$ .

### Theorem (Specker sequence)

*There exists a sequence  $(a_n)_{n \in \mathbb{N}}$  in  $[0, 1]$  without accumulation point.*

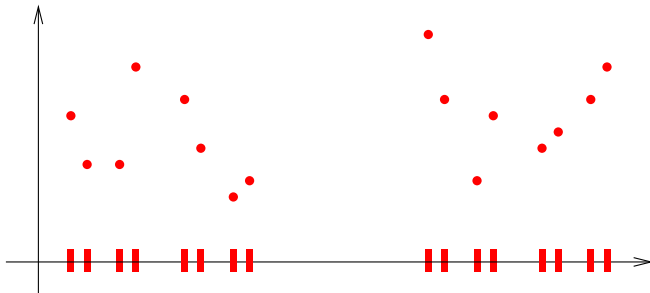
### Proof.

The sequence  $b_n = \lambda k. n$ , is without accumulation point in  $\mathbb{N}^{\mathbb{N}}$ . Define  $a_n = i(h(b_n))$ . Then  $a_n$  is without accumulation point in  $C$ . Because  $C$  is closed and located,  $a_n$  is without accumulation point in  $[0, 1]$ .  $\square$

# Extending a continuous map $C \rightarrow \mathbb{R}$

## Theorem

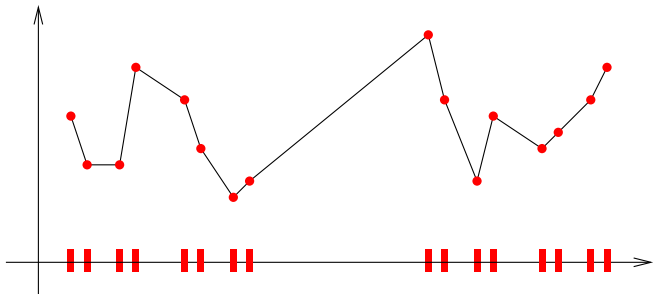
Every continuous  $g : C \rightarrow \mathbb{R}$  can be extended to a continuous  $\bar{g} : [0, 1] \rightarrow \mathbb{R}$ .



# Extending a continuous map $C \rightarrow \mathbb{R}$

## Theorem

Every continuous  $g : C \rightarrow \mathbb{R}$  can be extended to a continuous  $\bar{g} : [0, 1] \rightarrow \mathbb{R}$ .



Proof: exercise.

# Unbounded continuous $f : [0, 1] \rightarrow \mathbb{R}$

## Theorem

*There exists an unbounded continuous map  $[0, 1] \rightarrow \mathbb{R}$ .*

## Proof.

- ▶ The map  $g : \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{R}$ ,  $g : \alpha \mapsto \alpha_0$  is unbounded and continuous.
- ▶ The map  $g \circ h^{-1} \circ i^{-1} : C \rightarrow \mathbb{R}$  is unbounded and continuous.
- ▶ Extend  $g \circ h^{-1} \circ i^{-1}$  to a continuous  $f : [0, 1] \rightarrow \mathbb{R}$ . It is still unbounded.



# Conclusion

- ▶ The theme: as logicians, we should look for *elegant* presentations of theories we study. They can lead to new intuitions (and destroy old ones).
- ▶ These slides, and more, at [math.andrej.com](http://math.andrej.com).
- ▶ Further reading:
  - ▶ P. Odifreddi: *Classical Recursion Theory*
  - ▶ E. Bishop & D. Bridges: *Foundations of Constructive Analysis*
  - ▶ D. Bridges & F. Richman: *Varieties of Constructive Mathematics*